



U.S. DEPARTMENT OF EDUCATION
ED

CARES Act: Education Stabilization Fund ESF

ESF / ESF Reporting / HEERF - 078501939 - Review

Higher Education Emergency Relief Fund (HEERF) HEERF Fund Annual Report

In Progress

Last Modified: elthompson@tlu.edu - 1/27/2021, 3:40:38 PM

1 2 3 4 5 6 7 8 9 10 11 **Review**

Instructions

TEXAS LUTHERAN UNIVERSITY - Review

Expand All

Collapse All

General Information

Institutional Identifiers and Contact Information:

Institution Name	DUNS #
TEXAS LUTHERAN UNIVERSITY	078501939

Please identify the OPEID(s) for this institution:

003641

100 characters maximum: 6/100

Please report on these HEERF grant PR/Award Numbers:

PR Number 1	Awarded Amount 1
P425E200464 (Student Aid)	\$704,097.00
PR Number 2	Awarded Amount 2
P425F200510 (Institutional Aid)	\$704,097.00
PR Number 3	Awarded Amount 3
P425L200208 (MSIs)	\$103,206.00
Total Awarded Amount	Total Awarded Amount
	\$1,511,400.00

Who is the lead contact for this annual data collection?

Name	Title	Telephone	E-mail
Erica Miller	Director of Financial Aid	(830) 491-8714	emiller@tlu.edu

Funds Expended


1) Did you expend all of your HEERF funds prior to the end of the reporting period, making this your final annual report? *

Yes No


Websites

2) Reporting on institution websites:

a) CARES HEERF quarterly reporting webpage URL: <https://www.tlu.edu/admissions->

b) Student Portion Reporting: Provide all active website URLs posted by your campus, or by the institution on behalf of your campus(es), as required by the August 31 notice in the Federal Register  (including any URLs that are still active that provide archived information).


<https://www.tlu.edu/admissio>

c) Institutional Portion, (a)(2), and (a)(3) Reporting: Provide all active website URLs posted by your campus, or by institutions on behalf of your campus(es) as required by the quarterly Higher Education Emergency Relief Fund (HEERF) Data Collection Form  (including any URLs that are still active that provide archived information).

<https://www.tlu.edu/admissio>

<https://www.tlu.edu/uploads/>

Student Eligibility

3) How did your institution determine which students were eligible  to receive emergency financial aid grants under any of the CARES Act HEERF programs?

a) My institution has received an Institutional Student Information Record (ISIR) or Student Aid Report (SAR) on file that verifies student eligibility for Title IV, federal student aid (Title IV aid).

Yes No

b) My institution allowed students to attest to their eligibility for Title IV aid by completing an alternative application form developed by the institution for this purpose.


Yes No

c) My institution used another method for determining which students were eligible to receive emergency financial aid grants under the CARES Act.

Yes No

Aid Determination

4) How did your institution determine which students received emergency financial aid grants to students and how much each student would receive? Please indicate if any of the following strategies were used.

4.1) Did you distribute the same amount to all eligible students regardless of different circumstances? * 

Yes No

4.1.1) Did you ask students to apply for funds?

Yes	No
-----	----

4.1.1.1) Did you use that application to determine the amount of a student's emergency financial aid grant?

Yes	No
-----	----

i) What needs did you prioritize to determine the amount of the student's award?

a) Food

Yes	No
-----	----

b) Housing

Yes	No
-----	----

c) Course materials

Yes	No
-----	----

d) Technology

Yes	No
-----	----

e) Health care

Yes	No
-----	----

f) Child care

Yes	No
-----	----

g) Other

Yes	No
-----	----

Please specify

We had students apply and awarded off of the documents submitted. Beginning 1st week of July, we went to block grants. At this point, all students received basically the same amount who had not applied or who had applied but received less than the base amount of \$396.

300 characters maximum: 268/300

4.1.2) Did you use any institutional administrative data (pre-existing data that did not come from a HEERF-specific application form) in determining the amount of funds awarded to students?

Yes	No
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4.1.2.1) Which of these student factors did you prioritize in the grant determination process?

i) Enrollment intensity (i.e., full-time/part-time status, number of credits the student is taking, etc.)

Yes	No
-----	----

ii) Location (i.e., branch campus)

Yes	No
-----	----

iii) Pell Grant eligibility

Yes	No
-----	----

iv) FAFSA data elements

Yes	No
-----	----

v) On-campus/distance education status

Yes	No
-----	----

vi) On-campus/off-campus living arrangements

Yes	No
-----	----

vii) Academic level

Yes No

viii) Other

Yes No

Please Specify

Summer school enrollment.

300 characters maximum: 25/300

Aid Distribution

5) How did your institution distribute the emergency financial aid grants to students?

a) Checks

Yes No

b) Electronic funds transfer /Direct deposit

Yes No

c) Debit cards

Yes No

d) Payment apps

Yes No

e) Other

Yes No

Emergency Grants

6) Did your institution provide any instructions, directions, or guidance to students (e.g. FAQs) about the emergency financial aid grants upon disbursement? *

Yes No

a) Upload PDF/MS Word document instructions, directions, or guidance (up to 5 files):

File Name	Size	Last Modified
COVID-19 Emergency Aid Request Form.pdf	104.4 KB	1/26/2021, 1:51:08 PM
COVID-19 Guidelines for Students.pdf	105.5 KB	1/26/2021, 1:51:14 PM
Emergency Grant Frequently Asked Questions.pdf	77.2 KB	1/27/2021, 8:03:56 AM

or

b) Provide any active URLs with instructions, directions, or guidance on how to use the grants:

https://www.tlu.edu/admissio


Emergency Financial Aid

7) Use the instructions noted in the Appendix to complete the following table

Emergency Financial Aid Grants Awarded to Students (direct grants and amounts reimbursed)

Number of Students	Amount Disbursed - Section 18004(a)(1)	Amount Disbursed - Section 18004(a)(2)	Minimum and Maximum Awards
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Number of Eligible Students

7.1) How many students were eligible to receive HEERF emergency grants made available under section 18004 of the CARES Act  ?

7.1.1) All HEERF Emergency Financial Aid Grant eligible students

1,262

7.1.2) Undergraduates 

i) Full-time students 



Pell grant recipient

a) 450



Non-Pell grant recipient

b) 737

ii) Part-time students



Pell grant recipient

a) 17



Non-Pell grant recipient

b) 28

7.1.3) Graduates

i) Full-time students

Full-time students

13

ii) Part-time students

Part-time students

17

Number of HEERF Student Recipients - Emergency Grants to Students (unduplicated)

7.2) How many students received emergency financial aid grants provided under Section 18004 of the CARES Act? (unduplicated across all HEERF sections)

7.2.1) All HEERF Emergency Financial Aid Grant eligible students

1,191

7.2.2) Undergraduates 

i) Full-time students 



Pell grant recipient

a) 447



Non-Pell grant recipient

b) 684

ii) Part-time students

Pell grant recipient	Non-Pell grant recipient
a) 16	b) 18

7.2.3) Graduates

i) Full-time students ii) Part-time students

Full-time students	Part-time students
11	15

Summary

The amounts displayed under the Summary section are auto-calculated based on the responses entered in the Number of HEERF Student Recipients and the HEERF Amount Disbursed questions

HEERF Amount of Grants Disbursed

What was the amount of grants disbursed to students through all HEERF funds?

All HEERF Emergency Financial Aid Grant eligible students	\$704,097.00
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Undergraduates i

i) Full-time students i

i Pell grant recipient	i Non-Pell grant recipient
a) \$308,129.00	b) \$360,694.00

ii) Part-time students

Pell grant recipient	Non-Pell grant recipient
a) \$11,640.00	b) \$8,628.00

Graduates

i) Full-time students ii) Part-time students

Full-time students	Part-time students
\$5,543.00	\$9,463.00

Average HEERF Amount Awarded

Among students who received HEERF emergency financial aid grants, what was the average award amount per student?

All HEERF Emergency Financial Aid Grant eligible students	\$591.18
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Undergraduates i

i) Full-time students i



Pell grant recipient
a) \$689.33



Non-Pell grant recipient
b) \$527.33

ii) Part-time students

Pell grant recipient
a) \$727.50

Non-Pell grant recipient
b) \$479.33

Graduates

i) Full-time students

Full-time students
\$503.91

ii) Part-time students

Part-time students
\$630.87

Funds Expended Categories ^

8) Provide the total amount of HEERF funds expended on the categories provided. Please note that the CARES Act prohibits the use of funding for the provision of pre-enrollment recruitment activities; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship. In addition, please note that this template is meant to serve as a guide, and therefore IHEs are not required to categorize amounts in every category listed but only those that IHEs found applicable to their unique circumstances. Lastly, reported numbers should be consistent with previously published quarterly funding reports (the sum of quarterly reports should equal the value of the annual report).

8.1) Providing additional emergency financial aid grants to students. i

Amount in (a)(1) institutional dollars
\$0

Amount in (a)(2) dollars, if applicable
\$0

Amount in (a)(3) dollars, if applicable
\$0

▼ Add Explanatory Note

8.2) Providing reimbursements for tuition, housing, room and board, or other fee refunds.

Amount in (a)(1) institutional dollars
\$704,097

Amount in (a)(2) dollars, if applicable
\$0

Amount in (a)(3) dollars, if applicable
\$0

▼ Edit Explanatory Note □

Institution Portion Funds were used to partially offset refund costs. TLU provided housing and meal plan refunds to 745 students totaling \$1,277,515.

600 characters maximum: 149/600

8.3) Providing tuition discounts.

Amount in (a)(1) institutional dollars
Not Applicable

Amount in (a)(2) dollars, if applicable
\$0

Amount in (a)(3) dollars, if applicable
\$0

▼ Add Explanatory Note

8.4) Covering the cost of providing additional technology hardware to students, such as laptops or tablets, or covering the added cost of technology fees.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$0	\$0	\$0

▼ Add Explanatory Note

8.5) Providing or subsidizing the costs of high-speed internet to students or faculty to transition to an online environment.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$0	\$0	\$0

▼ Add Explanatory Note

8.6) Subsidizing off-campus housing costs due to dormitory closures or decisions to limit housing to one student per room; subsidizing housing costs to reduce housing density; paying for hotels or other off-campus housing for students who need to be isolated; paying travel expenses for students who need to leave campus early due to coronavirus infections or campus interruptions.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$0	\$0	\$0

▼ Add Explanatory Note

8.7) Subsidizing food service to reduce density in eating facilities, to provide pre-packaged meals, or to add hours to food service operations to accommodate social distancing.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$0	\$0	\$0

▼ Add Explanatory Note

8.8) Costs related to operating additional class sections to enable social distancing, such as those for hiring more instructors and increasing campus hours of operations.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$0	\$0	\$0

▼ Add Explanatory Note

8.9) Campus safety and operations. i

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$0	\$103,206	\$0

▼ Edit Explanatory Note

TLU was awarded funds under the CARES Act section 18004 (a)(2) as a Minority Serving Institution (MSI). The funds partially covered expenses incurred to prepare campus for a safe reopening following CDC guidelines such as purchasing PPE, signage, extra cleaning supplies, and modifications to spaces for safety and social distancing.

600 characters maximum: 333/600

8.10) Purchasing, leasing, or renting additional instructional equipment and supplies (such as laboratory equipment or computers) to reduce the number of students sharing equipment or supplies during a single class period and to provide time for disinfection between uses.


Amount in (a)(1) institutional dollars \$0	Amount in (a)(2) dollars, if applicable \$0	Amount in (a)(3) dollars, if applicable \$0
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▼ Add Explanatory Note

8.11) Replacing lost revenue due to reduced enrollment.

Amount in (a)(1) institutional dollars <i>Not Applicable</i>	Amount in (a)(2) dollars, if applicable \$0	Amount in (a)(3) dollars, if applicable \$0
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▼ Add Explanatory Note

8.12) Replacing lost revenue from non-tuition sources (i.e., cancelled ancillary events; disruption of food service, dorms, childcare or other facilities; cancellation of use of campus venues by other organizations, lost parking revenue, etc.) 

Amount in (a)(1) institutional dollars <i>Not Applicable</i>	Amount in (a)(2) dollars, if applicable \$0	Amount in (a)(3) dollars, if applicable \$0
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▼ Add Explanatory Note

8.13) Purchasing faculty and staff training in online instruction; or paying additional funds to staff who are providing training in addition to their regular job responsibilities.

Amount in (a)(1) institutional dollars \$0	Amount in (a)(2) dollars, if applicable \$0	Amount in (a)(3) dollars, if applicable \$0
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▼ Add Explanatory Note

8.14) Purchasing, leasing, or renting additional equipment or software to enable distance learning, or upgrading campus wi-fi access or extending open networks to parking lots or public spaces, etc.


Amount in (a)(1) institutional dollars \$0	Amount in (a)(2) dollars, if applicable \$0	Amount in (a)(3) dollars, if applicable \$0
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▼ Add Explanatory Note

8.15) Other Uses of (a)(1) Institutional Portion funds. 

Amount in (a)(1) institutional dollars \$0	Amount in (a)(2) dollars, if applicable <i>Not Applicable</i>	Amount in (a)(3) dollars, if applicable <i>Not Applicable</i>
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▼ Add Explanatory Note

8.16) Other uses of (a)(2) or (a)(3) funds, if applicable. 

Amount in (a)(1) institutional dollars <i>Not Applicable</i>	Amount in (a)(2) dollars, if applicable \$0	Amount in (a)(3) dollars, if applicable \$0
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▼ Add Explanatory Note

8.17) Annual Expenditures for each Program

Amount in (a)(1) institutional dollars \$704,097.00	Amount in (a)(2) dollars, if applicable \$103,206.00	Amount in (a)(3) dollars, if applicable \$0.00
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8.18) Total of Annual Expenditures

Students Who Received Funds

9) Provide the number of students who ever received HEERF grant funds in the reporting period and their enrollment status at the end reporting period

a) How many students received emergency financial aid grants through any of the HEERF funds? 1,191

The number displayed in this question is an autofill from the Number of HEERF Student Recipients total as entered in Section 7 - Emergency Financial Aid

b) How many of the students who ever received HEERF Emergency Financial Aid Grants dropped out (withdrawal without a completion record or return to school since receiving funds)? 62

c) How many of the students who ever received HEERF Emergency Financial Aid Grants are still enrolled at your institution? 822

d) How many of the students who ever received HEERF Emergency Financial Aid Grants have completed their program of study at your institution? 193

e) Withdrawal rate for students who received HEERF Emergency Financial Aid Grants 5.21%

Full-Time Equivalent (FTE) Positions

10) Provide the number of full-time equivalent (FTE) positions as of the listed reporting dates.

(The number of FTE positions includes all staff regardless of whether the position is funded by Federal, State, local, or other funds—including instructional and non-instructional staff and contractors—and equals the sum of the number of full-time positions plus the full-time equivalent of the number of part-time positions.)

Full-time equivalent (FTE) positions as of September 30, 2018	Full-time equivalent (FTE) positions as of September 30, 2019	Full-time equivalent (FTE) positions as of March 13, 2020	Full-time equivalent (FTE) positions on the last day of the reporting period
300.4	283.5	283.9	280.8

End Notes

- 1) See <https://www2.ed.gov/about/offices/list/ope/heerfreporting.html>.
- 2) See Notice published in the Federal Register on July 29, 2020 (85 FR 45629): <https://www.federalregister.gov/d/2020-16429>.
- 3) Eligible in this context refers to anyone who could have received grants regardless of how an institution prioritized funding to specific subsets of students
- 4) Eligible students refer to students with a ISIR or SAR, alternative application form attesting to title IV aid eligibility. Please see also the Interim Final Rule published in the Federal Register on June 17, 2020 (85 FR 36494). Community Colleges in California, all public institutions in Washington State, and all institutions in Massachusetts have different requirements due to recent U.S. District Court actions. Please discuss with legal counsel. HEERF litigation updates can be found here.
- 5) For students in both undergraduate and graduate categories, classify as a graduate student.
- 6) For students who had multiple enrollment intensities use the enrollment status at the time of initial disbursement of the HEERF emergency grant (i.e. for students who received multiple disbursements, the status upon the first disbursement) to determine full-time status or part-time status.
- 7) Designate the student as a Pell grant recipient if the student was a Pell grant recipient at the time of initial disbursement of the HEERF emergency grant within the applicable reporting period.
- 8) Includes non-FAFSA filers.

- 9) For the purposes of this report, institutions may determine the number of eligible students based on the number of students for whom the institution has received an Institutional Student Information Record (ISIR) plus the number of students who completed an alternative application form developed by the institution for this purpose. The institution may then apply this number to its own methodological framework for disbursement of funds to produce a final total of eligible students at the institution. The institution is not asked to make assumptions about the potential eligibility of students for whom the institution has not received an ISIR or an alternative application.
- 10) See FAQ #3 [here](#).
- 11) To support any element of the cost of attendance (as defined under Section 472 of the Higher Education Act of 1965, as amended (HEA)) per Section 18004(c) of the CARES Act and the Interim Final Rule published in the Federal Register on June 17, 2020 (85 FR 36494). Community Colleges in California, all public institutions in Washington State, and all institutions in Massachusetts have different requirements due to recent U.S. District Court actions. Please discuss with legal counsel. HEERF litigation updates can be found [here](#).
- 12) Including costs or expenses related to the disinfecting and cleaning of dorms and other campus facilities, purchases of personal protective equipment (PPE), purchases of cleaning supplies, adding personnel to increase the frequency of cleaning, the reconfiguration of facilities to promote social distancing, etc.
- 13) Including continuance of pay (salary and benefits) to workers who would otherwise support the work or activities of ancillary enterprises (e.g., bookstore workers, foodservice workers, venue staff, etc.).
- 14) Please post additional documentation as appropriate and briefly explain in the "Explanatory Notes" section. Please note that costs for Section 18004(a)(1) Institutional Portion funds may only be used "to cover any costs associated with significant changes to the delivery of instruction due to the coronavirus, so long as such costs do not include payment to contractors for the provision of pre-enrollment recruitment activities; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship."
- 15) Please post additional documentation as appropriate and briefly explain in the "Explanatory Notes" section. Please note that costs for Sections 18004(a)(2) and (a)(3) funds may only be used "to defray expenses, including lost revenue, reimbursement for expenses already incurred, technology costs associated with a transition to distance education, faculty and staff trainings, payroll incurred by institutions of higher education and for grants to students for any component of the student's cost of attendance (as defined under section 472 of the HEA), including food, housing, course materials, technology, health care, and child care."
- 16) For students who receive grants from different HEER funds, count them only once.

Burden Statement ^

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0850. Public reporting burden for this collection of information is estimated to average 6 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Under the PRA, participants are required to respond to this collection to obtain or retain a benefit. If you have any comments concerning the accuracy of the time estimate, suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, please contact Joanne Bogart, US. Department of Education, 400 Maryland Avenue, SW, Washington, DC 20202.

1 2 3 4 5 6 7 8 9 10 11 **Review**